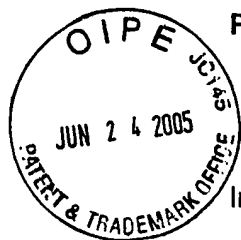


PCT



Practitioner's Docket No. 2002DE114

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Franz-Leo Heinrichs

Application No.: 10/516,928
Filed: December 3, 2004
For: Mixtures of Finely Ground Waxes

Group No.:

Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is **mandatory**;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. section 1.8(a)

37 C.F.R. section 1.10*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Address"
Mailing Label No. _____ (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office (703) ____-____.

Date:

June 22, 2005

Signature

Jackie L. Wise

(type or print name of person certifying)

***WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).
"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

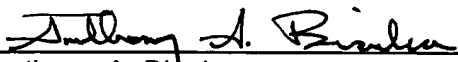
Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO-1449.
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☐ PCT Search Report
 - 1d. ☒ Citation of Co-pending U.S. Applications in 1449.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,



Anthony A. Bisulca
Registration No. 40,913

CUSTOMER NO. 25,255

Clariant Corporation
Industrial Property Department
4000 Monroe Road
Charlotte, North Carolina 28205
Telephone: 704/331-7151
Facsimile: 704/331-7707

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	ATTY. DOCKET NO. 2002DE114	SERIAL NO. 10/516,928
	APPLICANT: Franz-Leo Heinrichs	
	FILING DATE: 12/3/2004	GROUP:

U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Dte	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4342602	8/3/82	Petz et al.			
	AB	6761764	7/13/04	Krendlinger et al.			
	AC	2003/0050381	3/13/03	Hohner et al.			
	AD	4846887	7/11/89	Kuehnle			
	AE	6316650	11/13/01	Heinrichs et al.			
	AF	6391189	5/21/02	Heinrichs et al.			
	AG	20030022978	1/30/03	Hohner et al.			
	AH	6133490	10/17/00	Toyoda et al.			
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						
	AY						
	AZ						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION							
		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	BA	EP 0028713	5/20/81	EPO			See AA above
	BB	EP 0324077	7/19/89	EPO			English abstract
	BC	WO 0164799	9/7/01	WIPO			English Abstract
	BD	WO 0132780	5/10/01	WIPO			English Abstract
	BE	WO 0185855	11/15/01	WIPO			See AB above
	BF	WO 0164776	9/7/01	WIPO			See AC above
	BG	EP 0222061	5/20/87	EPO			See AD above
	BH	EP 0529975	3/3/93	EPO			English
	BI	WO 9534200	12/21/95	WIPO			English
	BJ	EP 1010728	6/21/00	EPO			See AE above
	BK	EP 0902045	3/17/99	EPO			English
	BL	EP 0843222	5/20/98	EPO			English
	BM						
	BN						
	BO						
	BP						
	BQ						
	BR						
	BS						
	BT						
	BU						
	BV						
	BW						
	BX						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication**)

	CA		PCT ISR for corresponding application PCT/EP 03/05669, mailed 9/26/2003
	CB		English Translation of PCT IPER for PCT/EP03/05669, mailed 6/19/2004
	CC		USPTO Office Action for US 10/220,076, mailed 7/15/2004
	CD		USPTO Office Action for US 10/220,076 mailed 12/3/2004
	CE		USPTO Office Action for US 10/220,077, mailed 3/18/2004
	CF		USPTO Office Action for US 10/220,077, mailed 10/6/2004
	CG		
	CH		
	CI		
EXAMINER			DATE CONSIDERED
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			